

TEXAS A&M INTERNATIONAL UNIVERSITY

Rule

15.99.03.L1 Ethics in Research, Scholarship, and Creative Work

First Approved:	October 16, 2013
Revised:	September 19, 2018
	March 6, 2024
Reviewed:	August 24, 2023
	March 6, 2024
Next Scheduled Review:	March 6, 2029

Rule Statement and Reason for Rule

This Rule is required by <u>System Regulation 15.99.03</u>, <u>Ethics in Research</u>, <u>Scholarship and Creative Work</u> for handling allegations of scientific, scholarly, or creative misconduct consistent with the terms of the System Regulation.

Procedures and Responsibilities

1. GENERAL

Texas A&M International University (TAMIU) strives to maintain superior standards in all research, scholarship, and creative work. TAMIU expects all employees and other persons participating in TAMIU research projects and/or support activities to do likewise.

2. DESIGNATED OFFICER

The Associate Vice President for Research and Sponsored Projects is TAMIU's designated officer and is responsible for carrying out the requirements of <u>System Regulation 15.99.03</u>, <u>Ethics in Research</u>, <u>Scholarship and Creative Work</u>.

3. DECIDING OFFICIAL

The Provost and Vice President for Academic Affairs is TAMIU's deciding official and is responsible for adjudicating allegations of misconduct in research, scholarship, and creative work as provided in <u>System Regulation 15.99.03</u>, *Ethics in Research, Scholarship and Creative Work*.

4. REPORTING ALLEGATIONS OF MISCONDUCT

Allegations of misconduct in university research, scholarship, or creative work may be presented by any means of communication (written or oral statement, or other communication) to the designated officer. Allegations of misconduct may also be sent to the EthicsPoint Hotline, either online or at 1-888-501-3850, as well as directly to an official within the US Department of Health and Human Services (HHS), as applicable.

5. PROCEDURES TO REVIEW AN ALLEGATION OF MISCONDUCT

TAMIU will, at all times, ensure that it responds to allegations of research misconduct through a thorough, competent, objective, and fair response within the appropriate time limits.

For HHS (42 CFR Part 93), this generally applies only to allegations of research misconduct that occurred within six years of the date the institution or HHS received the allegation. The six-year limit does not apply if the respondent continues or renews any incident of alleged research misconduct that occurred before the six-year limitation through the citation, republication, or other use for potential benefit of the respondent of the research record that is alleged to have been fabricated, falsified, or plagiarized. The six-year limitation also does not apply if the Office of Research Integrity (ORI) at HHS, or TAMIU, following consultation with ORI, determines that the alleged misconduct, if it occurred, would possibly have had a substantial adverse effect on the health or safety of the public.

The designated officer will conduct a preliminary assessment of the alleged misconduct in order to determine if an inquiry must be performed. If it is decided that an inquiry must be performed, the inquiry will be initiated by the designated officer in the manner described in <u>System Regulation</u> <u>15.99.03, Ethics in Research, Scholarship and Creative Work</u>.

If the inquiry provides a sufficient basis for conducting an investigation, an investigation will be conducted in the manner described in <u>System Regulation 15.99.03</u>, <u>Ethics in Research</u>, <u>Scholarship</u> <u>and Creative Work</u> and will normally be completed within 120 calendar days after its initiation.

TAMIU employees and affiliates may not retaliate in any way against complainants, witnesses, or committee members. TAMIU will take all reasonable and practical efforts to protect or restore the position and reputation of any complainant, witness, or committee member, and to counter potential or actual retaliation against these individuals.

Related Statutes, Policies, Regulations, or SAP's

<u>System Regulation 15.99.03, Ethics in Research, Scholarship and Creative Work</u> 42 CFR, Part 50; 42 CFR, Part 93; 45 CFR, Part 689

Definitions

For definitions, see "Definitions" in <u>System Regulation 15.99.03, Ethics in Research, Scholarship and</u> <u>Creative Work</u>.

Contact Office

Office of Provost and Vice President for Academic Affairs, 956-326-2240