

Significant Financial Interest (SFI) – a financial interest, including but not limited to one or more of the following interests of the Investigator (and those of the Investigator’s Covered Family Members) that reasonably appears to be related to the Investigator’s Institutional Responsibilities:

(a) With regard to any publicly traded entity, a *Significant Financial Interest* exists if the value of any remuneration received from the entity in the 12 months preceding the disclosure and the value of any equity interest in the entity as of the date of disclosure, when aggregated, exceeds \$5,000. For purposes of this definition, remuneration includes salary and any payment for services not otherwise identified as salary (*e.g.*, consulting fees, honoraria, paid authorship); equity interest includes any stock, stock option or other ownership interest, as determined through reference to public prices or other reasonable measures of fair market value;

(b) With regard to any non-publicly traded entity, a *Significant Financial Interest* exists if the value of any remuneration received from the entity in the 12 months preceding the disclosure, when aggregated, exceeds \$5,000, or when the Investigator (or the Investigator’s Covered Family Member) holds any equity interest (*e.g.*, stock, stock option or other ownership interest); or

(c) Intellectual property and royalty interests (*e.g.*, patents, copyrights), upon receipt of income related to such rights and interests.

(d) The occurrence of any reimbursed or sponsored travel (*i.e.*, that which is paid on behalf of the Investigator and not reimbursed to the Investigator so that the exact monetary value may not be readily available), related to their Institutional Responsibilities; provided, however, that this does not include travel that is reimbursed or sponsored by a federal, state or local government agency, an institution of higher education as defined at 20 U.S.C. §1001(a), an academic teaching hospital, a medical center or a research institute that is affiliated with an institution of higher education.

(e) Gifts, when the value of a single gift received by the Investigator or a Covered Family Member in the preceding 12 months exceeds \$250, or when the aggregated value of multiple gifts received from a single entity within the preceding 12 months exceeds \$250, excluding gifts received from a Covered Family Member.

(f) Any fiduciary position held by an Investigator or a Covered Family Member in a for-profit or nonprofit entity in the preceding 12 months, including a position as a member of the board of directors, an officer or other executive or management position for which the Investigator or Covered Family Member received any form of remuneration or reimbursement for expenses.

The term Significant Financial Interest does not include:

(a) Salary, royalties or other remuneration paid by a member to the Investigator if the Investigator is currently employed or otherwise appointed by the member, including intellectual property rights assigned to the system or its member and agreements to share in royalties related to such rights;

(b) Income from investment vehicles, such as mutual funds and retirement accounts, as long as the Investigator does not directly control the investment decisions made in these vehicles;

(c) Income from seminars, lectures or teaching engagements sponsored by a federal, state or local government agency, an institution of higher education as defined by 20 U.S.C. §1001(a), an academic teaching hospital, a medical center or a research institute that is affiliated with an institution of higher education;

(d) Income from service on advisory committees or review panels for a federal, state or local government agency, an institution of higher education as defined by 20 U.S.C. §1001(a), an academic teaching hospital, a medical center or a research institute that is affiliated with an institution of higher education; or

(e) Travel reimbursed or sponsored by a federal, state or local government agency, an institution of higher education as defined by 20 U.S.C. §1001(a), an academic teaching hospital, a medical center or a research institute that is affiliated with an institution of higher education